

11/29/01  
JC923 U.S. PTO

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Atty. Docket: SOMM-03-107

Applicants: Paul Francis DAY

Title: IMPROVED TUBES

**UTILITY PATENT APPLICATION TRANSMITTAL**

*BOX PATENT APPLICATION*

*Assistant Commissioner for Patents*

*Washington, D.C. 20231*

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**  
☐ Divisional of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation of prior application Serial No. \_\_, filed on \_\_.  
☐ Continuation-in-part of prior application Serial No. \_\_, filed on \_\_.

**PRELIMINARY AMENDMENT/CALCULATION OF FEES**

- ☐ Please cancel claims \_\_ without prejudice, and prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.  
☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. \_\_ total claim(s), of which \_\_ is(are) independent, is(are) pending after the amendment.  
☐ Small Entity Status is Requested  
☒ **The Fees are Calculated as Follows:**

Fee	Number of Claims.	In Excess of	Extra	At Rate	Amount
Total Claims	28	20	8	\$18	\$144 00
Independent Claims	6	3	3	\$84	\$252 00
MULTIPLE DEPENDENT CLAIM FEE					\$0 00
BASIC FEE					\$740 00
TOTAL OF ABOVE CALCULATIONS					\$1,136 00
REDUCTION BY 50% FOR FILING BY SMALL ENTITY					
TOTAL					\$1,136.00

**ENCLOSURES**

- ☒ **Utility Patent Application Transmittal Form (in duplicate).**  
☒ **Return Postcard.**

**APPLICATION PAPERS**

- ☒ **Utility Patent Application, with: cover sheet, 18 page(s) specification (including 28 total claim(s), of which 6 is(are) independent), and 1 page(s) abstract).**  
☒ **Drawings: 7 sheet(s) of informal drawings (15 total figure(s)).**  
☐ Microfiche Computer Program (Appendix).

11017 U.S. PTO  
09/095871  
11/29/01

095871-112901

- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ **An Unexecuted Declaration, Power of Attorney and Petition Form.**
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☒ Certified Copy of priority document(s) identified in the Declaration, Power of Attorney & Petition will follow.

ADDITIONAL PAPERS

- ☐ Assignment to \_\_\_\_\_ Recordation Cover Sheet (Form PTO-1595)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☐ Information Disclosure Statement. \_\_\_ sheet(s) Form PTO-1449, \_\_\_ U S Patent Reference(s). \_\_\_ Foreign Patent Reference(s) and \_\_\_ Other Reference(s)
- ☐ Other: \_\_\_

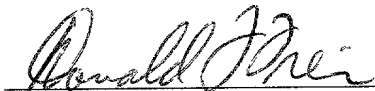
CHECKS

- ☐ A Check of \_\_\_\_\_ for the filing fee.
- ☐ A Check of \_\_\_\_\_ for the assignment recording fee.

DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of \_\_\_.
- ☒ **The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.**
- ☒ **THE PAYMENT OF FEES IS BEING DEFERRED.**

Respectfully Submitted,



Donald F. Frei  
Reg. No 21.190

WOOD, HERRON & EVANS, L.L.P.  
2700 Carew Tower  
441 Vine St.  
Cincinnati, Ohio 45202  
(513) 241-2324  
DFF/bad